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FACSIMILE COVER SHEET

To: Commissioner for Patents
Fax Number: (703) 872-9326

From: Stanley D. Ference III
Date: July 30, 2004
Pages: 15 pages (including this cover sheet)

MESSAGE:

Application No. 09/863,920
Examiner Daniel S. Felton
Art Unit 3624

Amendment Transmittal
Amendment

FR91999007US1
(590.106)

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Amendment Transmittal

Atty. Docket No. FR919990071US1
(590.106)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Blanchard et al.
Serial No. : 09/863,920 Examiner : Daniel S. Felton
Filed : May 23, 2001 Group Art Unit : 3624
For : SYSTEM AND METHOD FOR STOCK OPTIONS MARKET
MANAGEMENT

HON. COMMISSIONER OF PATENTS AND TRADEMARKS
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

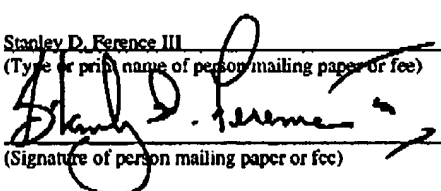
Transmitted herewith is an Amendment in the above-identified application.

1. ☐ Applicant requests an extension of time to respond to the outstanding Office Action. A Petition for an extension of time is enclosed.
- OR
2. ☒ In the event that an extension of time is required, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.
3. ☐ Small Entity status of this application has been established by a verified statement previously submitted.
4. ☐ A verified statement to establish Small Entity status is enclosed.

CERTIFICATE OF TRANSMITTAL UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence and any referred to as being attached or enclosed therewith is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (703) 872-9326 on July 30, 2004.

Stanley D. Perence III
(Type or print name of person mailing paper or fee)


(Signature of person mailing paper or fee)

Page 1 of 2

FERENCE & ASSOCIATES
 Amendment Transmittal

 Atty. Docket No. FR919990071US1
 (590.106)

5. ☐ Also enclosed: _____
6. ☒ No additional filing fee is required.
7. ☒ The filing fee has been calculated as shown below:

	Claims Remaining After Amendment (Col. 1)	Highest No. Prev. paid for (Col. 2)	Present Extra (Col. 3)	<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
				<u>RATE</u>	<u>FEE</u>	<u>RATE</u>	<u>FEE</u>
Total Claims	14	** 20	= * 0	x \$9	=	O x \$18	= 0
Ind. Claims	3	*** 3	= * 0	x \$42	=	O x \$84	= 0
<input type="checkbox"/> Multiple Dependent Claim Presented				+ \$140	=	O + \$280	=
				<u>TOTAL</u>	= \$	<u>TOTAL</u>	= \$0

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

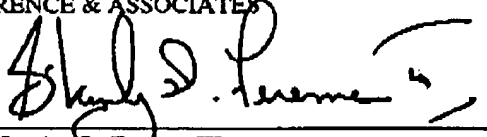
** If the "Highest No. Prev. paid for" in this space is less than 20, write "20" in this space.

*** If the "Highest No. Prev. paid for" in this space is less than 3, write "3" in this space.

8. ☐ Applicant encloses herewith a check for \$_____ to cover the filing fee.
9. ☐ Attached hereto is a completed Credit Card Payment Form. Please charge the credit card identified thereon in the amount of \$_____ to cover the filing fee required under 37 CFR 1.17(e).
10. ☐ The Commissioner is hereby authorized to charge the \$_____ filing fee to Deposit Account No. 50-0510.
11. ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510.

Respectfully submitted,

FERENCE & ASSOCIATES

 By 
 Stanley D. Ference III
 Reg. No. 33,879
Dated: July 30, 2004

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Atty. Docket No. FR91999007US1
(590.106)

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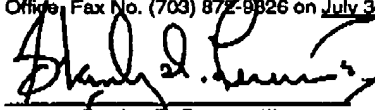
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In re Application of : Blanchard et al.
Serial No. : 09/863,920 Examiner : Felton, D.
Filed : May 23, 2001 Art Unit : 3624
For : SYSTEM AND METHOD FOR STOCK OPTIONS
MARKET MANAGEMENT

July 30, 2004

AMENDMENT

I hereby certify that this correspondence and any documents referred to as enclosed
therewith are being facsimile transmitted to the United States Patent and Trademark
Office, Fax No. (703) 872-9926 on July 30, 2004.



Stanley D. Ference III
Reg. No. 33,879

July 30, 2004
Date of Signature

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Responsive to the Office Action dated April 30, 2004, kindly enter the following
amendments. The amendments find full support in the claims and/or specification as originally
filed.

Amendments to the Claims are reflected in the listing of claims which begins on Page 2
of this paper.

Remarks begin on Page 7 of this paper.